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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/758,525	01/10/2001	Peng George Wang	10114/6 9752	
757	7590 12/23/2004		EXAMINER	
BRINKS HOFER GILSON & LIONE			SAIDHA, TEKCHAND	
P.O. BOX 10 CHICAGO,			ART UNIT PAPER NUMBER	
•			1652	
			DATE MAILED: 12/23/2004	4

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
Office Action Summers	09/758,525	WANG ET AL.			
Office Action Summary	Examiner	Art Unit			
	Tekchand Saidha	1652			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on 08 No	ovember 2004.				
2a) This action is FINAL . 2b) ☐ This	action is non-final.				
3)☐ Since this application is in condition for allowan					
closed in accordance with the practice under E.	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.			
Disposition of Claims	•				
4)⊠ Claim(s) <u>39-49 and 52-76</u> is/are pending in the	application.				
4a) Of the above claim(s) <u>49 & 71-76</u> is/are with					
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>39-48 and 52-70</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	election requirement.				
Application Papers					
9)☐ The specification is objected to by the Examiner					
10)⊠ The drawing(s) filed on <u>10 January 2001</u> is/are:		to by the Examiner			
Applicant may not request that any objection to the d		-			
Replacement drawing sheet(s) including the correction					
11) The oath or declaration is objected to by the Exa					
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
a) All b) Some * c) None of:					
1. Certified copies of the priority documents have been received.					
2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage.					
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of the certified copies not received.					
Attachment(s)					
1) Notice of References Cited (PTO-892)	4) Interview Summary (PTO-413)			
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 	Paper No(s)/Mail Dat 5) Notice of Informal Pa 6) Other: LRF	e tent Application (PTO-152) Robem Report.			

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DETAILED ACTION

1. Election

Applicant's election with traverse, filed November 08, 2004, of Group I (claims 39-48 & 52-70) drawn to sugar-nucleotide regenerating enzyme GalK and a glycosyltransferase LgtC with traverse is acknowledged. The traversal is on the ground(s) that the 812-way restriction is unidentifiable because the Examiner has failed to identify each of the 812 groups. This is not found persuasive because a glance at the restriction requirement one can clearly comprehend the various groups. However, this argument I now moot in view of the changed groupings.

Applicants further argue that the claims can be examined together without undue burden, and that the Examiner must show one of the following according to MPEP 808.02, i.e., separate classification, separate status in the art or different field of search, as reasons for insisting upon restriction. Applicants further argue the high cost of the filling/legal fees involved in order to prosecute all the 812 applications.

Applicants' arguments having considered, the restriction requirement is modified as follows in order that Applicants do not undergo serious financial burden. This is not to concede to Applicants' foregoing arguments because each of the combinations of the genes involved in the host cell construct are distinct from each other in terms of enzyme activity as well as the in terms of glycoconjugates produced. Further each of the sugar nucleotides regenerating enzyme(s) belong to different set/class/subclass and has a different substrate requirement as is evident by their names, for example, GalK, a galacto-kinase; PykF, a pyruvate kinase; Ppk, a polyphosphate kinase; Ack, an acetate kinase, and so on.

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Revised restriction groups are as follows:

Group I - Claims 39-48 & 52-70, drawn to a transformed cell comprising - sugar nucleotide regenerating and a glycosyltransferase, classified in class 435, subclass 252.3.

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Group II - Claims 49 & 71-76, drawn to a method of producing a glycoconjugate of interest using any one of the sugar nucleotide regenerating enzymes and any one of the glycosyltransferase, classified in class 435, subclass 97.

Inventions 1 and 2 are related as process of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP § 806.05(f)). In the instant case the inventions are distinct because the process as claimed can be used to make other and materially different product, such as recombinant production of the enzymes by the host cell construct, instead of producing the glycoconjugate(s) of interest.

- 2. Since Applicants' election falls into the present Group I, claims 39-48 & 52-70, are under consideration in this examination.
- 3. Claims 49 & 71-76 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention, the requirement having been traversed.
- 4. The attempt to incorporate subject matter into this application by reference to a hyperlink embedded in the specification (for example, page 25, line 7) is improper. Incorporation of subject matter into the patent application by reference to a hyperlink and/or other forms of browser-executable code is considered to be an improper incorporation by reference. See MPEP 608.01 regarding hyperlinks in the specification and 608.01(p), paragraph I regarding incorporation by reference.

5a. **Specification**

The specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

5b. Sequence Rules

The specification contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR § 1.821(a) and (a)(2). However, the specification fails to comply with one or more of the requirements of 37 CFR § 1.821 through 1.825 as follows: Applicants' submission of a hard copy "Sequence Listing" as required by 37 CFR § 1.821(d) as well as in computer readable form (CRF), filed November 4, 2004, is acknowledged. Appropriate corrections for compliance is required, which includes resubmission of the CRF and a hard copy of the sequence listing, along with a statement that the information contained in the hard copy and the CRF are identical.

CRF problem report is enclosed, to aid the Applicants in the correction for sequence compliance.

6. Claim Rejections - 35 USC § 112 (first paragraph)

Claims 39-48 & 52-70 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a host cell transformed with a nucleic acid encoding a <u>sugar-nucleotide regenerating enzyme</u> viz., (1) galactokinase (GalK), (2) galactose-1-phosphate uridylyltransferase (GalU) and (4) pyruvate kinase (PykF); and a <u>glycosyltransferase</u>, viz., (5) α 1, 3-galactosyltransferase, all from *E.coli*, for the production of oligosaccharides (α -Galactose), does not reasonably provide enablement for the transformation of host cell(s) using any or all the five 5 enzymes (as described above in 1-5) of the biosynthetic pathway for the formation of α -galactose from any source. The specification does not enable

any person skilled in the art to which it pertains, or with which it is most nearly connected, to make the invention commensurate in scope with these claims.

Claims 39-48 & 52-70 are so broad as to encompass a cell comprising one or more sugar nucleotide regenerating enzyme and one or more glycosyltransferase from any source for the production of any glycoconjugate, which may includes an oligosaccharide, a glycoprotein, a glycolipid, among others. The scope of the claims is not commensurate with the enablement provided by the disclosure with regard to the extremely large number of sugar nucleotide regenerating enzyme(s) and glycosyltransferase(s), from any source, broadly encompassed by the claims.

The specification provides the construction of single super bug or cell comprising (1) galactokinase (GalK), (2) galactose-1-phosphate uridylyltransferase (GalT), (3) glucose-1-phosphate uridylyltransferase (GalU) and (4) pyruvate kinase (PykF); and a glycosyltransferase, viz., (5) α 1, 3-galactosyltransferase, all from *E.coli*, for the production of oligosaccharides (α -Galactose).

The prior art describes the glycosyltransferases to be a large family of enzymes that participates in a concerted fashion in the biosynthesis of polysaccharides, and of carbohydrate moieties of glycoproteins and glycolipids. The sequence-function relationship of this class of proteins in prokaryotes and Eukaryotes class of proteins has been recently reviewed [see Breton et al. J. Biochem. 123, 1000-1009 (1998), see abstract, **IDS**], The results of this study allowed the grouping of 12 groups of glycosyltransferases into 5 families. Using a conserved graphics method for protein comparison, conserved structural features were found in some of the glycosyltransferase groups, indicating lack of conserved sequences among the glycosyltransferase(s) family. Further distinction has been observed among the glycosyltransferases from Prokaryotes and Eukaryotes. In eukaryotes, glycosyltransferases consist of a short N-

terminal cytoplasmic tail, a transmembrane domain, a stem region of variable length and a large C-terminal globular catalytic domain. This is in contrast to bacterial (prokaryotic) glycosyltransferases, some having several transmembrane domains, whereas others bind to membranes even though no membrane domains were predicted [see, Breton et al. (1998), page 1000. column 1-2]. The glycosyltransferases constitute a large heterogeneous class of enzymes, some families include enzymes that catalyze different reactions (see, Breton et al. concluding remarks on page 1007). Since the amino acid sequence of an enzyme determines its structural and functional properties, and because there appears to be a large variation among the different types of glycosyltransferases as well as the source from it is obtained, inserting these genes from any source into a cell construct will not only be undue but lead to transformed cell incapable of yielding the desired product in view of the different members of the enzyme catalyzing different reactions.

While recombinant techniques are known, it is not routine in the art to screen for multiple genes from a variety of sources, to obtain sugar nucleotide regenerating enzyme viz., Galk or Gall or Gall or Pykf or Ndk or PpK or AcK or PoxB or Ppa or PgM or NagE or Agml or glmu or GalNAc kinase or pyrophosphorylase or Ugd or NanA or Cmk or NeuA or A1g2 or Algl or SusA or ManB or ManC or phosphomannomutase or GalE or GMP or GMD or GFS from any source; and/or a glycosyltransferase enzyme from among - LgtB, LgtC (galactosyltransferase); Lgtf, Alg5 or DUGT (glucosyltransferase); LgtA (Nacetylglucosaminyl transferase); UDP-GalNAc:2'-fucosylgalactiside-α-3-Nacetylgalactosaminyl transferase; UGT2B7 (glucoronyltransferase); SiaT0160 (sialyltransfearse); Alg1 or Alg2 (mannosyltransferase); α 1,3-FucT or α 1,2-FucT or α 1,3,4-FucT (fucosyltransferases)] from any source and integrate into the genome of the cell, as encompassed by the instant claims, and/or transform any cell with these genes in various combination(s) irrespective of the biosynthetic pathway or sequential steps, to obtain the desired product

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would be highly unpredictable and with no reasonable expectation of success in obtaining the desired construct/ activity/product, because of insufficient guidance.

Thus, applicants have <u>not</u> provided sufficient guidance to enable one of ordinary skill in the art to make and use the claimed invention in a manner reasonably correlated with the scope of the claims broadly including a transformed cell comprising one or more sugar-nucleotide regenerating enzyme and one or more glycosyltransferase from any source. Further, the specific limitations of claim 52, for example, GMP, GMD, GFS, etc., remains undescribed for what it stands for or in what pathway are these enzymes operating. The scope of the claims must bear a reasonable correlation with the scope of enablement (<u>In re Fisher</u>, 166 USPQ 19 24 (CCPA 1970)). Without sufficient guidance, determination of cell construct comprising equivalent sequence as relevant to the metabolic or biosynthetic pathway in question, and having the capability of producing the desired biological product(s) is unpredictable and the experimentation left to those skilled in the art is unnecessarily, and improperly, extensive and undue. See <u>In re Wands</u> 858 F.2d 731, 8 USPQ2nd 1400 (Fed. Cir, 1988).

7. Claims 39-48 & 52-70 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claims 39-48 & 52-70, recite a cell comprising one or more sugar nucleotide regenerating enzyme and one or more glycosyltransferase from any source for the production of any glycoconjugate, which may includes an oligosaccharide, a glycoprotein, a glycolipid, among others. More specific recitation includes a cell comprising sugar nucleotide regenerating enzyme comprising, Ga1k or GalT or GalU or Pykf or Ndk or PpK or AcK or PoxB or

Ppa or PgM or NagE or Agml or glmu or GalNAc kinase or pyrophosphorylase or Ugd or NanA or Cmk or NeuA or A1g2 or Algl or SusA or ManB or ManC or phosphomannomutase or Ga1E or GMP or GMD or GFS from any source; and/or glycosyltransferase enzyme comprising LgtB, LgtC (galactosyltransferase); Lgtf, Alg5 or DUGT (glucosyltransferase); LgtA (Nacetylglucosaminyl transferase); UDP-GalNAc:2'-fucosylgalactiside-α-3-Nacetylgalactosaminyl transferase; UGT2B7 (glucoronyltransferase); SiaT0160 (sialyltransfearse); Alg1 or Alg2 (mannosyltransferase); α 1,3-FucT or α 1,2-FucT or α 1,3,4-FucT (fucosyltransferases)] from any source.

The specification, however, only provides a single representative species in the construction of single super bug or cell comprising (1) galactokinase (GalK), (2) galactose-1-phosphate uridylyltransferase (GalT), (3) glucose-1phosphate uridylyltransferase (GalU) and (4) pyruvate kinase (PykF); and a glycosyltransferase, viz., (5) a1, 3-galactosyltransferase, all from E.coli, for the production of oligosaccharides (a-Galactose). There is no disclosure of any particular structure to function/activity relationship in the single disclosed species to other species where such sequences are conserved in order to establish a relationship among species. The specification also fails to describe additional representative species of these superbugs by any identifying structural characteristics other than the properties or activity recited in claims, for which no predictability of structure is apparent. Further, the specific limitations of claim 52, for example, GMP, GMD, GFS, etc., remains undescribed for what it stands for or in what pathway are these enzymes operating. Given this lack of additional representative species of these superbugs, Applicants have failed to sufficiently describe the claimed invention, in such full, clear, concise, and exact terms that a skilled artisan would recognize Applicants were in possession of the claimed invention.

Therefore, the written description requirement is not satisfied.

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Claims 47-48 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 47 & 48 [line 1], recite 'genes are encoded within....'. The claims are indefinite because it is the 'enzyme that are encoded by the gene'. However, as used in the present context, the claims may be amended to recite 'genes are contained within....', or any other suitable expression to overcome this rejection.

9. Claims 52-61, 63-65, 67-70 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 52-61, 63-65, 67-70, recite 'various abbreviations, example GMD, Ndk, etc'. The claims are indefinite because it is unclear what they stand for. The first use of an uncommon abbreviation, must be recited by the full name, and which may be abbreviated in the subsequent claims.

Some confusion may be seen in dependent claims, for example, claims 63-65, which recite abbreviations which is not the same as the general name of the enzyme. Actual definition of the abbreviation is sought. Some may be found in Table 4, starting on page 67, of the instant specification.

- 10. The following prior art cited in Applicants' Information Disclosure Statement is the closest prior art of record. [Koizumi et al. (1998) Nature Biotechnology, 16: 847-850]. Koizumi et al. teach that the production of UDP-Gal and Globotriose (oligosaccharides) was accomplished by coupling a combination of cell constructs *E. coli* cells transformed with *galT*, *GalK*, *GalU*, and ppa; *E. coli* cells transformed with alpha 1,4-galactosyltransferase gene (lgtC); and *C. ammoniagenes* cells produces uridine 5'-triphosphate (UTP) from orotic acid. The reference is not used in any prior art rejection.
- 11. No claim is allowed.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tekchand Saidha whose telephone number is (571) 272 0940. The examiner can normally be reached on 8.30 am - 5.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapu Achutamurthy can be reached on (571) 272 0928. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Tekchand Saidha

Primary Examiner, Art Unit 1652

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December 21, 2004

YSTEMS

BRANCH

1600

CRF Problem Report

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Application Serial Number: 09/7585256 Filing Date: Date Processed by STIC:

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Revised 01/29/2002

Raw Sequence Listing Eri Summary

	ERROR DETECTE	SUGGESTED CORRECTION	SERIAL NUMBER:	19/738525
ATT		PLEASE DISREGARD ENGLISH "ALPHA" HEA		PTO SOFTWARE
1	_ Wrapped Nucleics	The number/text at the end of each line "wrapp		
		This may occur if your file was retrieved in a wo		
		Please adjust your right margin to .3, as this w	ill prevent "wrapping".	
2	_ Wrapped Aminos	The amino acid number/text at the end of each		
		This may occur if your file was retrieved in a w		
		Please adjust your right margin to .3, as this w	ili prevent "wrapping". "	
3	_ Incorrect Line Length +	The rules require that a line not exceed 72 char	acters in length. This includes space	es.
4	_ Misaligned Amino Acid	The numbering under each 5th amino acid is m	isaligned. This may be caused by the	use of tabs
	Numbering	between the numbering. It is recommended to d	lelete any tabs and use spacing between	the numbers.
5	_ Non-ASCII	This file was not saved in ASCII (DOS) text, as	required by the Sequence Rules.	
		Please ensure your subsequent submission is s	saved in ASCII text so that it can be p	rocessed
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6	Variable Length	Sequence(s) contain n's or Xaa's which re	presented more than one residue.	
		As per the rules, each n or Xaa can only represe		
		Please present the maximum number of each re		
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7	Patentin ver. 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the	<220>-<223> section to be missing fr	om amino acid
	-	sequence(s) Normally, Patentin) would automatically generate this se	ection from the
		previously coded nucleic acid sequence. Please	e manually copy the relevant <220>-<	223> section
		to the subsequent amino acid sequence. This	applies primarily to the mandatory	<220><223>
		sections for Artificial or Unknown sequence	s.	
8	Skipped Sequences	Sequence(s) missing. If intentional, please	use the following format for each skin	ned sequence:
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		(i) SEQUENCE CHARACTERISTICS:(Do not in	ised any headings under "SEQUENC	CE CHARACTERISTICS"
		(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X		
		This sequence is intentionally skipped		
-		Please also adjust the *(iii) NUMBER OF SEQU	ENCES:" response to include the skip	pped sequence(s).
9	Skipped Sequences	Sequence(s) missing. If intentional, please	use the following format for each skir	Ded sequence
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10_	Use of n's or Xaa's	Use of n's and/or Xaa's have been detected in the	Sequence Listing	
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11	Use of <213>Organism	Saguanca(s) are missing this monthly	Cald and	
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		(See "Federal Register," 6/01/98, Vol.	63, No. 104, pp. 29631-32)	(Sec. 1.823 of new Rules)
13	Palentin ver. 2.0 "bug"	Please do not use "Copy to Disk" function of	Patentin version 2.0. This causes a	corrupted
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Does Not Comply

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